IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Lydia Breck, et al.

Docket No.:

40655.0700

Serial No.:

TBA

Filing Date: Filed herewith

Group Art Unit:

TBA

Title:

SYSTEM FOR FACILITATING A

Examiner:

TBA

TRANSACTION

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Assistant Commissioner:

This Information Disclosure Statement is being filed in accordance with the duty of disclosure under 37 C.F.R. § 1.56 and pursuant to 37 C.F.R. §§ 1.97-1.98. Copies of the cited references are being submitted herewith. The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant reserves the right to dispute any of the listed documents as prior art during examination. Further, Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. Furthermore, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

It is respectfully requested that these documents be considered by the examiner and an initialed copy of each form be returned to the undersigned.

This Information Disclosure Statement is being filed in compliance with 37 C.F.R. § 1.97(b)(1) as it is being filed contemporaneously with the Patent Application. Therefore, there is no fee required.

The Assistant Commissioner is hereby authorized to charge Deposit Account No. 19-2814 for any deficiency in the fees required for the filing of this Information Disclosure Statement, for which purpose a duplicate copy of this sheet is enclosed. This statement does not authorize the payment of the issue fee.

Respectfully submitted,

Date: March 7, 2001

Thomas J. Finn Reg. No. P48,066

Enclosures: PTO-1449

cited references

SNELL & WILMER, L.L.P.

One Arizona Center 400 East Van Buren Phoenix, AZ 85004-2202 (602) 382-6219

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.	SERIAL NO.
40655.0700	TBA
APPLICANT(S)	
Breck et al.	
FILING DATE	GROUP
March 7, 2001	TBA

			March 7, 20	March 7, 2001		TBA		
U.S. PATENT DOCUMENTS								
EXAMINER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIAT		
	4,450,535	1984/5/22	de Pommery et al.					
	5,016,274	1991/5/14	Micali et al.			o		
	5,023,908	1991/6/11	Weiss			- 4 - 5 - 5 - 5 - 5 - 5 - 5 - 5 - 5 - 5		
	5,350,906	1994/9/27	Brody et al.			S		
	5,461,217	1995/10/24	Claus			22		
	5,500,513	1996/3/19	Langhans et al.					
	5,504,808	1996/4/2	Hamrick, Jr.					
	5,577,120	1996/11/19	Penzias					
	5,614,703	1997/3/25	Martin et al.					
	5,641,050	1997/6/24	Smith et al.	<u> </u>				
	5,724,424	1998/3/3	Gifford	Til.				
	5,742,845	1998/4/21	Wagner					
	5,826,242	1998/10/20	Montulli					
	5,862,325	1999/1/19	Reed et al.	74, - 11				
	5,864,830	1999/1/26	Armetta et al.					
	5,883,810	1999/3/16	Franklin et al.					
	5,884,280	1999/3/16	Yoshioka et al.					
	5,897,622	1999/4/27	Blinn et al.					
	5,898,838	1999/4/27	Wagner					
	5,903,830	1999/5/11	Joao et al.					
	5,903,875	1999/5/11	Kohara			"		
	5,903,880	1999/5/11	Biffar					
	5,905,908	1999/5/18	Wagner					
	5,909,492	1999/6/1	Payne et al.					
	5,915,023	1999/6/22	Bernstein			· · · · · · · · · · · · · · · · · · ·		
	5,923,734	1999/7/13	Taskett					
	5,930,777	1999/7/27	Barber					
	5,949,044	1999/9/7	Walker et al.					

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.
40655.0700

APPLICANT(S)
Breck et al.

FILING DATE
March 7, 2001

SERIAL NO.
TBA

TBA

	•			Breck et al.		
				FILING DATE March 7, 2001	GROUP	ТВА
		116 04	TENT	March 7, 2001		IDA
	5,949,876	1999/9/7		DOCUMENTS ter et al.		1
			+			
	5,956,699	1999/9/21	Wong et al.			
	5,960,411	1999/9/28	 	tman et al.		
	5,963,915	1999/10/5	Kirs			
	5,966,697	1999/10/12	+	gerson et al.		
	5,970,471	1999/10/19	Hill			
	5,970,472	1999/10/19		op et al.		
	5,970,473	1999/10/19	+	szberg et al.		
	5,970,475	1999/10/19	Barı	nes et al.		
	5,983,207	1999/11/9	Turl	k et al.		
	6,002,767	1999/12/14	Kra	mer		
	6,009,412	1999/12/28	Stor	ey		
	6,014,634	2000/1/11	Scro	oggie et al.		
	6,014,635	2000/1/11	Han	ris et al.		
	6,014,636	2000/1/11	Reed	der		
	6,014,646	2000/1/11	Valle	ee et al.		
	6,014,648	2000/1/11	Brei	nnan		
	6,014,650	2000/1/11	Zam	npese		
	6,014,748	2000/1/11	Tush	hie et al.		
	6,016,484	2000/1/18	Will	iams et al.		
	6,023,510	2000/2/8	Epst	tein		
·	6,029,150	2000/2/22	Krav	vitz		
	6,029,890	2000/2/29	Aust	tin		
	6,038,292	2000/3/14	Thor	mas		
	6,038,551	2000/3/14	Barl	low et al.		
	6,041,308	2000/3/21	Wall	ker et al.		
	6,058,418	2000/5/2	Kob	ata		
	6,061,789	2000/5/9	Haus	ser et al.		
	6,064,981	2000/5/16	Barn	ni et al.	, and the second	
		•			-	

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				ATTY DOCKET NO. 40655.0700 SERIAL NO. TBA APPLICANT(S) Breck et al. FILING DATE March 7, 2001 GROUP TBA						
				U.S. PA	TENT	DOCUMENTS				
	6,076,078			2000/6/13	Can	np et al.				
		6,078	,906	2000/6/20	Hub	erman				
		6,081,790 6,088,717 6,088,797		2000/6/27	Rose	en				
····				2000/7/11	Ree	d et al.				
				2000/7/11	Rose	Rosen			7	
				2000/7/18	Lan	zy et al.				,
		6,115,	458	2000/9/5	Tasl	kett				
				FOREIGN I	PATE	NT DOCUMENTS		.		
	DOCUMENT NUMBER		DATE		COUNTRY	CLASS	SUBCLASS	TRANSLATION		
		WO 99/49424 WO 00/49586			P.G.			<u> </u>	YES	NO
0				1999/9/30	PCT					
				2000/8/24	PCT	[
	·							,		
		,	OTHER DOCUM	MENTS (Includ	ling Au	thor, Title, Date, Pertinent	Pages, Et	c.)		
8										
EXAMINER			DATE CONSIDERED							
*EXAMINER:	Initial if refe	erence co	nsidered, whether or rm with next commu	not citation is in	conform	nance with MPEP 609; Draw I	ine through	citation if not in c	onformanc	e and not

Form PTO-A820 (also from PTO-1449) 966543